

PTO/SB/30 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,
provides for continued examination of an utility or plant application
filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/333,049
Filing Date	June 15, 1999
First Named Inventor	Hiroshige HIRANO et al.
Group Art Unit	2814
Examiner Name	H. Pham
Attorney Docket Number	740819-255

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. **Submission required under 37 C.F.R. § 1.114**

a. ☒ Previously submitted

i. ☒ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on December 26, 2000
(Any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____

iii. ☐ Other _____

b. ☒ Enclosed

i. ☐ Amendment/Reply

ii. ☐ Affidavit(s)/Declaration(s)

iii. ☐ Information Disclosure Statement (IDS)

iv. ☒ Other Petition for Extension of Time

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of three months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(f) required)

b. ☐ Other _____

3. **Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 19-2380.

i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)

ii. ☒ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)

iii. ☐ Other _____

b. ☒ Check in the amount of \$820.00 enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Eric J. Robinson	Registration No. (Attorney/Agent)	38,285
Signature		Date	January 26, 2001

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type)	
Signature	
Date	

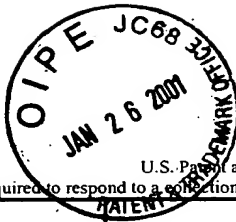
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS: SEND Fees and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.

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PTO/SB/17 (09-00)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL
FOR FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT \$820.00

PATENT

Complete if Known

Application Number	09/333,049
Filing Date	June 15, 1999
First Named Inventor	Hiroshige HIRANO et al.
Examiner Name	H. Pham
Group Art Unit	2814
Attorney Docket No.	740819-255

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number: 19-2380
- Deposit Account Name: NIXON PEABODY LLP
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed:
- ☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE									
Large Entity Fee Code	Small Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description		Fee Paid			
101	201	710	355	Utility filing fee					
106	206	320	160	Design filing fee					
107	207	490	245	Plant filing fee					
108	208	710	355	Reissue filing fee					
114	214	150	75	Provisional filing fee					
SUBTOTAL (1)									

2. EXTRA CLAIM FEES									
		Extra Claims		Fee from below		Fee Paid			
Total Claims		-20** =		X		=			
Independent Claims		-3** =		X		=			
Multiple Dependent						=			
		Large Entity Fee Code	Small Entity Fee Code	Fee Description					
103	203	18	9	Claims in excess of 20					
102	202	80	40	Independent claims in excess of 3					
104	204	270	135	Multiple dependent claim, if not paid					
109	209	80	40	** Reissue independent claims over original patent					
SUBTOTAL (2)						\$			

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES									
Large Entity Fee Code	Small Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description		Fee Paid			
105	205	130	65	Surcharge - late filing fee or oath					
127	227	50	25	Surcharge - late provisional filing fee or cover sheet					
139	139	130	130	Non-English transaction					
147	147	2,520	2,520	For filing a request for <i>ex parte</i> reexamination					
112	112	920*	920*	Requesting publication of SIR prior to Examiner action					
113	113	1,840*	1,840*	Requesting publication of SIR after Examiner action					
115	215	110	55	Extension for reply within first month		\$110.00			
116	216	390	195	Extension for reply within second month					
117	217	890	445	Extension for reply within third month					
118	218	1,390	695	Extension for reply within fourth month					
128	228	1,890	945	Extension for reply within fifth month					
119	219	310	155	Notice of Appeal					
120	220	310	155	Filing a brief in support of an appeal					
121	221	270	135	Request for oral hearing					
138	138	1,510	1,510	Petition to institute a public use proceeding					
140	240	110	55	Petition to revive - unavoidable					
141	241	1,240	620	Petition to revive - unintentional					
142	242	1,240	620	Utility issue fee (or reissue)					
143	243	440	220	Design issue fee					
144	244	600	300	Plant issue fee					
122	122	130	130	Petitions to the Commissioner					
123	123	50	50	Petitions related to provisional applications					
126	126	240	240	Submission of information Disclosure Stmt					
581	581	40	40	Recording each patent assignment per property (times number of properties)					
146	246	710	355	Filing a submission after final rejection (37 CFR § 1.129(a))					
149	249	710	355	For each additional invention to be examined (37 CFR § 1.29(b))					
179	249	710	355	Request for Continued Examination (RCE)					
169	169	900	900	Request for expedited examination of a design application					
Other fee (specify) _____									
* Reduced by Basic Filing Fee Paid									
SUBTOTAL (3)						\$820.00			

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Eric J. Robinson	Registration No. (Attorney/Agent)	38,285
Signature		Telephone	(703) 790-9110
		Date	January 26, 2001